SEC Form 4

(City)

FORM 4

(State)

(Zip)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287									
Estimated average burden										
hours per response	: 0.5									

	Check this box i to Section 16. Fo obligations may Instruction 1(b).			IT OF CHANGES IN BENEFICIAL OWN pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940		lip	OMB Number: Estimated aver hours per respo	rage burden	
	Name and Addre	ss of Reporting Perso	n*	2. Issuer Name and Ticker or Trading Symbol Bolt Biotherapeutics, Inc. [BOLT]		ionship of F all applicab Director	Reporting Perso le)	on(s) to Issuer 10% Owner	
1	.ast)	st) (First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year) 11/16/2023		Officer (give title below)		Other (specify below)	
	/O BOLT BIO 00 CHESAPE	THERAPEUTICS AKE DRIVE	, INC.	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi Line) X	Form filed	by One Report	0	e
R	treet) EDWOOD ITY	CA	94063	Rule 10b5-1(c) Transaction Indication		Form filed Person	by More than C	One Reporting	_

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indired Beneficia Ownersh (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(instr. 4)		
Common Stock	11/16/2023		S		7,531	D	\$0.96 ⁽¹⁾	1,989,685	I	By Viv Capital Fund VIII, L.P. ⁽²⁾		
Common Stock	11/17/2023		S		8,211	D	\$0.93 ⁽³⁾	1,981,474	I	By Viv Capital Fund VIII, L.P. ⁽²⁾		
Common Stock	11/20/2023		S		27,465	D	\$0.92 ⁽⁴⁾	1,954,009	I	By Viv Capital Fund VIII, L.P. ⁽²⁾		
Common Stock	11/16/2023		S		1,040	D	\$0.96 ⁽¹⁾	274,749	I	By Viv Capital Surplus Fund VIII, L.P. ⁽⁵⁾		
Common Stock	11/17/2023		S		1,134	D	\$0.93 ⁽³⁾	273,615	I	By Viv Capital Surplus Fund VIII, L.P. ⁽⁵⁾		
Common Stock	11/20/2023		S		3,792	D	\$0.92(4)	269,823	I	By Viv Capital Surplus Fund VIII, L.P. ⁽⁵⁾		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
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		Tal	ble II - Derivat (e.g., pu					ired, Disp options, c	, ,		or		d		
1. Title of	2.	3. Transaction	3A. Deemed	C ode		€A)Nu	m(D)¢r	ExDecties Elaker o	isDadotke and		eSalnaires		9. Number of	10.	11. Nature
Beclantatio		e(s/tonth/Day/Year)	Execution Date, if any	Code (Deriv	ative	Expiration Da (Month/Day/Y		Amou Secur		Derivative Security	derivative Securities	Ownership Form:	Beneficial
(instr. 3) 1. The price	Price of reported herein	is a weighted averag Issuer, any security l	e price. These shares	8) were so	ld on th	Secu	rities marke	t in multiple tra	nsactions at p	Under	lying nging from	(instr. 5) \$0.95 to \$0.9	Beneficially Beneficially Owned	Direct (D) reporting perso or Indirect	Ownership (Instr. 4)
	Security			r the sta	ff of the	(A) U	ities ar	nd Exchange Co	mmission, up	Secur	fty (Instr.	ormation rega		of shares accu	
· · ·	• •	e ranges set forth in	footnote (4) to this Fo			Disp				3 and			Reported	•	•

2. The securities reported herein are held of record by Vivo Capital Fund VIII, L.P. Vive (1) is the general partner of Vivo Capital Fund VIII, L.P. The restion of the v members of Vivo Capital VIII, LLC and may be deemed to have shared voting and dis (1) to ver over the shares held by Vivo Capital Fund VIII, L.P. The Resting Person disclaims beneficial ownership over such shares except to the extent of his pecuniary interest therein. M&Person is one of the voting ownership over such shares except to the extent of his pecuniary interest therein.

3. The price reported herein is a weighted average price. These shares were sold on the open market in multiple transactions at prices ranging from \$0.93 to \$0.95, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares acquired at each separate price within the ranges set forth in footnote (5) to this Form 4. . Amount

4. The price reported herein is a weighted average price. These shares were sold on the open market in multiple transactions at prices ranging from \$0.92 to \$0.98, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon requesting from \$0.92 to \$0.98, inclusive. The reporting person each separate price within the ranges set forth in footnote (6) to this Form 4. **Code** · V · (A) · (D) · Exercisable · Date **Expiration** or the staff of the record by Vivo Capital VIII, LLC is the general partner of Vivo Capital Surplus Fund VIII, L.P. The Reporting Person is one of the voting members of Vivo Capital VIII, LLC and may be deemed to have shared voting and dispositive power over the shares held by Vivo Capital Surplus Fund VIII, L.P. The Reporting Person disclaims beneficial ownership over such shares except to the extent of his pecuniary interest therein.

Remarks:

/s/ William P. Quinn, Attorney-in-Fact

11/20/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.